



7/5/04

1647

PATENT  
Attorney Docket No. UDL-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Kinrade *et al.* CONFIRMATION NO.: 9691  
SERIAL NO: 09/771,956 GROUP NO.: 1647  
FILING DATE: January 29, 2001 EXAMINER: Wegert, S.  
TITLE: CHIMERIC NEUROPEPTIDE Y RECEPTORS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 3rd day of August, 2004.

  
DeLoris T. Roberson

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 page);
2. Supplemental Information Disclosure Statement (3 pages); and
3. Form PTO-1449 (1 page);
4. Copy of cited references A1-A7 and B1-B5; and
5. A Return Receipt Postcard.

3101600-1



**TRANSMITTAL  
FORM**

Application Serial Number	09/771,956
Filing Date	January 29, 2001
First Named Inventor	Kinrade
Group Art Unit	1647
Examiner Name	Wegert, S.
Attorney Docket No.	UDL-007
Patent No.	Not yet assigned
Issue Date	Not yet assigned

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <li><input type="checkbox"/> Check Attached</li> <li><input type="checkbox"/> Copy of Fee Transmittal Form</li> </ul>	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <li><input type="checkbox"/> Preliminary</li> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> <li><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]</li> </ul>	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Form PTO-1449</li> <li><input checked="" type="checkbox"/> Copies of IDS Citations A1-A7 and B1-B5</li> </ul>	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <li><input type="checkbox"/> Paper Copy/CD</li> <li><input type="checkbox"/> Computer Readable Copy</li> <li><input type="checkbox"/> Statement verifying identity of above</li> </ul>	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> CD(s) for large table or computer program	
	<input type="checkbox"/> Amendment After Allowance	
	<input type="checkbox"/> Request for Certificate of Correction <ul style="list-style-type: none"> <li><input type="checkbox"/> Certificate of Correction (in duplicate)</li> </ul>	

**CORRESPONDENCE ADDRESS**

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**SIGNATURE BLOCK**

Date: August 3, 2004  
 Reg. No. 45,508  
 Tel. No.: (617) 248-7226  
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Respectfully submitted,

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 125 High Street  
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PATENT  
Attorney Docket No. UDL-007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S):	Kinrade <i>et al.</i>	CONFIRMATION NO.:	9691
SERIAL NO:	09/771,956	GROUP NO.:	1647
FILING DATE:	January 29, 2001	EXAMINER:	Wegert, S.
TITLE:	CHIMERIC NEUROPEPTIDE Y RECEPTORS		

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

In addition, Applicants wish to inform the Examiner about the following patents and patent applications, and the contents of their file wrappers, including all Office actions issued therein.

- 1) U.S.S.N. 09/676,970, entitled "Certain Alkylene Diamine-Substituted Pyrazolo [1,5-a]-1,3,5-Triazines," filed on September 29, 2000, and issued on April 16, 2002, as U.S. Patent No. 6,372,743 B1 (Reference A1).
- 2) U.S.S.N. 10/083,245, entitled "Certain Alkylene Diamine-Substituted Pyrazolo [1,5-a]-1,3,5-Triazines," filed on February 25, 2002, and published on April 10, 2003, as U.S. Application Publication No. 2003/0069246 (Reference A5).
- 3) U.S.S.N. 09/676,941, entitled "Certain Alkylene Diamine-Substituted Heterocycles," filed on September 29, 2000, and issued on January 14, 2003, as U.S. Patent No. 6,506,762 B1 (Reference A2).
- 4) U.S.S.N. 10/291,446, entitled "Certain Alkylene Diamine-Substituted Heterocycles," filed on November 8, 2002, and issued on February 24, 2004, as U.S. Patent No. 6,696,445 B2 (Reference A4).

- 5) U.S.S.N. 10/705,446, entitled "Certain Alkylene Diamine-Substituted Heterocycles," filed on November 10, 2003.
- 6) U.S.S.N. 10/274,851, entitled "Substituted 2-Cyclohexyl-4-Phenyl-1H-Imidazole Derivatives," filed on October 21, 2002, and published on July 31, 2003, as U.S. Application Publication No. 2003/0144290 (Reference A6).
- 7) U.S.S.N. 10/415,457, entitled "Spiro[Isobenzofuran-1,4'-Piperidin]-3-Ones and 3H-Spiroisobenzofuran-1,4'-Piperidines," filed on April 29, 2003.
- 8) U.S.S.N. 10/013,846, entitled "Spiro[Isobenzofuran-1,4'-Piperidin]-3-Ones and 3H-Spiroisobenzofuran-1,4'-Piperidines," filed on December 11, 2001, and issued on May 20, 2003, as U.S. Patent No. 6,566,367 B2 (Reference A3).
- 9) U.S.S.N. 10/410,648, entitled "Spiro[Isobenzofuran-1,4'-Piperidin]-3-Ones and 3H-Spiroisobenzofuran-1,4'-Piperidines," filed on April 9, 2003, and published on April 15, 2004, as U.S. Application Publication No. 2004/0072847 (Reference A7).

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- the requisite Statement is below, **OR**
- the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- the requisite Statement is below, **AND**
- the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: August 3, 2004  
Reg. No. 45,508

Tel. No.: (617) 248-7226  
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3101401

Respectfully submitted,



Daniel A. Wilson  
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Boston, Massachusetts 02110



<b>FORM PTO - 1449</b> <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>				<b>ATTORNEY DOCKET NO.: UDL-007</b> <b>APPLICANT(S): Kinrade <i>et al.</i></b> <b>SERIAL NO.: 09/771,956</b> <b>FILING DATE: January 29, 2001</b> <b>GROUP NO.: 1647</b>					
<b>U.S. PATENT DOCUMENTS</b>									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A1	6,372,743 B1	04/16/2002	Darrow <i>et al.</i>				09/29/2000	
	A2	6,506,762 B1	01/14/2003	Horvath <i>et al.</i>				09/29/2000	
	A3	6,566,367 B2	05/20/2003	Bakthavatchalam <i>et al.</i>				12/11/2001	
	A4	6,696,445 B2	02/24/2004	Horvath <i>et al.</i>				11/08/2002	
	A5	2003/0069246 A1	04/10/2003	Darrow <i>et al.</i>				02/25/2002	
	A6	2003/0144290 A1	07/31/2003	Blum <i>et al.</i>				10/21/2002	
	A7	2004/0072847 A1	04/15/2004	Bakthavatchalam <i>et al.</i>				04/09/2003	
<b>FOREIGN PATENT DOCUMENTS</b>									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B1	WO01/23387	04/05/2001	PCT				N	Y
	B2	WO01/23389	04/05/2001	PCT				N	Y
	B3	WO01/55103	08/02/2001	PCT				N	Y
	B4	WO02/48152	06/20/2002	PCT				N	Y
	B5	WO03/104255	12/18/2003	PCT				N	Y
EXAMINER				DATE CONSIDERED					
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
EXAMINER				DATE CONSIDERED					